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PTO/SB/08A (10-96)

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Patent and Trademark Office: U.S. Department of Commerce

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b> (use as many sheets as necessary)				Complete If Known		
				Application Number		
				Filing Date		
				First Named Inventor		
				Group Art Unit		
				Examiner Name		
				Attorney Docket Number		
Sheet	1	of	6	Peter M. Bonutti Unknown 780-A03-012C		
U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
PP	AA	3,229,006		Nohl	01/11/66	
	AB	3,624,747		McKnight	11/30/74	
	AC	3,807,393		McDonald	10/14/75	
	AD	3,903,549		Deyerle	09/09/75	
	AE	3,911,923		Yoon	10/14/75	
	AF	3,920,022		Pastor	11/18/75	
	AG	3,967,625		Yoon	07/06/76	
	AH	3,989,049		Yoon	11/02/76	
	AI	3,991,426		Flom	11/16/76	
	AJ	3,994,287		Turp	11/30/76	
	AK	4,053,953		Flom	10/18/77	
	AL	4,085,466		Goodfellow	04/25/78	
	AM	4,085,743		Yoon	04/25/78	
	AN	4,103,680		Yoon	08/01/78	
	AO	4,299,224		Noiles	11/10/81	
	AP	4,311,145		Esty	01/19/82	
	AQ	4,344,193		Kenny	08/17/82	
	AR	4,374,523		Yoon	02/22/83	
	AS	4,385,404		Sully	05/01/83	
	AT	4,509,518		McGarry	04/09/85	
	AU	4,545,375		Cline	10/08/85	
✓	AV	4,619,391		Sharkany	05/05/87	
Examiner /Pedro Philogene/				Date Considered 01/17/2007		

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				Examiner Name	Unknown
				Attorney Docket Number	780-A03-012C
Sheet	2	of	6		

  

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PP	AW	4,624,254		McGarry	11/25/86	
	AX	4,641,648		Shapiro	02/10/87	
	AY	4,662,372		Sharkany	05/05/87	
	AZ	4,682,598		Beraha	07/28/87	
	BA	4,685,460		Thornton	08/11/87	
	BB	4,817,602		Beraha	04/04/89	
	BC	4,936,852		Kent	06/25/90	
	BD	4,961,954		Goldberg	10/09/90	
	BE	4,964,865		Burkhead	10/23/90	
	BF	4,985,038		Lyell	01/15/91	
	BG	5,032,132		Matsen	07/16/91	
	BH	5,060,678		Bauman	10/29/91	
	BI	5,098,437		Kashuba	03/24/92	
	BJ	5,100,689		Goldberg	03/31/92	
	BK	5,108,441		McDowell	03/28/92	
	BL	5,111,987		Moeinzadeh	05/12/92	
	BM	5,123,906		Kelman	06/23/92	
	BN	5,152,744		Krause	10/06/92	
	BO	5,152,778		Bales	10/06/92	
	BP	5,170,800		Smith	12/15/92	
✓	BQ	5,171,243		Kashuba	12/15/92	

  

Examiner <b>PP</b> /Pedro Philogene/	Date Considered <b>01/17/2007</b>
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PP	BR	5,174,300		Bales	12/29/92	
	BS	5,176,702		Bales	01/05/93	
	BT	5,178,622		Lehner	01/12/93	
	BU	5,183,053		Yeh	02/02/93	
	BV	5,186,178		Yeh	02/16/93	
	BW	5,197,968		Clement	03/30/93	
	BX	5,197,987		Koch	03/30/93	
	BY	5,207,692		Kraus	05/04/93	
	BZ	5,258,004		Bales	11/02/93	
	CA	5,273,524		Fox	12/28/93	
	CB	5,275,166		Vaitekunas	01/04/94	
	CC	5,293,878		Bales	03/15/94	
	CD	5,322,505		Krause	06/21/94	
	CE	5,348,541		Lyell	09/20/94	
	CF	5,368,599		Hirsch	11/29/94	
	CG	5,425,745		Green	06/20/95	
	CH	5,454,815		Geisser	10/03/95	
	CI	5,456,720		Schultz	10/10/95	
	CJ	5,472,415		King	12/05/95	
	CK	5,484,095		Green	01/16/96	
✓	CL	5,497,933		Defonzo	03/12/96	
Examiner <b>/Pedro Philogene/</b>				Date Considered <b>01/17/2007</b>		

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				Examiner Name <b>Unknown</b>		
Sheet	4	of	6	Attorney Docket Number <b>780-A03-012C</b>		
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PP	CM	5,514,139		Goldstein	05/07/96	
	CN	5,522,897		King	06/04/96	
	CO	5,554,169		Green	03/12/96	
	CP	5,569,163		Francis	10/29/96	
	CQ	5,570,700		Vogeler	11/05/96	
	CR	5,593,448		Dong	01/14/97	
	CS	5,597,379		Haines	01/28/97	
	CT	5,624,463		Stone	04/29/97	
	CU	5,632,745		Schwartz	05/27/97	
	CV	5,643,272		Haines	07/01/97	
	CW	5,649,946		Bramlet	07/22/97	
	CX	5,667,069		Williams	09/16/97	
	CY	5,702,447		Walch	12/30/97	
	CZ	5,702,475		Zahedi	12/30/97	
	DA	5,707,350		Krause	01/13/98	
	DB	5,712,543		Sjostrom	01/27/98	
	DC	5,718,708		Webb	02/16/98	
	DD	5,723,331		Tubo	03/03/98	
	DE	5,755,731		Grinberg	05/26/98	
	DF	5,755,803		Haines	05/26/98	
	DG	5,769,899		Schwartz	06/23/98	
Examiner <b>/Pedro Philogene/</b>				Date Considered <b>01/17/2007</b>		

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PP	DH	5,810,827		Haines	09/22/98	
	DI	5,817,109		McGarry	10/06/98	
	DJ	5,846,931		Hattersley	12/08/98	
	DK	5,866,415		Villeneuve	02/02/99	
	DL	5,871,493		Sjostrom	02/16/99	
	DM	5,879,354		Haines	03/09/99	
	DN	5,880,976		DiGioia et al.	03/09/99	
	DO	5,899,914		Zirps	05/04/99	
	DP	5,913,874		Berns	07/22/99	
	DQ	5,921,990		Webb	07/13/99	
	DR	5,995,738		Di Gioia et al.	11/30/99	
	DS	5,997,566		Tobin	12/07/99	
	DT	6,002,859		Di Gioia et al.	12/14/99	
	DU	6,015,419		Strome	01/18/00	
	DV	6,022,350		Ganem	02/08/00	
	DW	6,056,754		Haines	05/02/00	
	DX	6,077,287		Taylor	06/20/00	
	DY	6,090,122		Sjostrom	07/18/00	
	DZ	6,099,532		Florea	08/08/00	
	EA	6,171,340		McDowell	01/09/01	
	EB	6,174,321		Webb	01/16/01	
✓	EC	6,197,064		Haines	03/06/01	
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PP	ED	6,205,411		DiGioia et al.	03/20/01	
	EF	6,214,051		Badorf	04/10/01	
	EG	6,228,121		Khalili	05/08/01	
	EH	6,241,735		Marmulla	06/05/01	
	EI	6,290,703		Ganem	09/18/01	
	EK	6,325,806		Fox	12/04/01	
	EL	6,328,572		Higashida	12/11/01	
	EM	6,338,737		Toledano	01/15/02	
	EN	6,391,040		Christodias	05/21/02	
	EO	6,398,815		Pope et al.	06/04/02	
	EP	6,406,495		Schoch	06/18/02	
	EQ	6,409,722		Hoey	06/25/02	
	ER	6,431,743		Mizutani	08/13/02	
	ES	6,500,181		Portney	12/31/02	
	ET	6,514,259		Picard et al.	04/04/03	
	EU	6,616,696		Merchant	09/09/03	
V	EV	D462,767		Meyer	09/10/02	
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	AB	4,224,696		Murray et al.	09/30/80	
	AC	4,298,992		Burstein et al.	11/10/81	
	AD	4,373,709		Whitt	02/15/83	
	AE	4,457,302		Caspari et al.	07/03/84	
	AF	4,565,192		Shapiro	01/21/86	
	AG	4,574,794		Cooke et al.	03/11/86	
	AH	4,739,751		Sapega et al.	04/26/88	
	AI	4,979,949		Matsen, II et al.	12/25/90	
	AJ	5,007,912		Alberktsson et al.	04/16/91	
	AK	5,099,859		Bell	03/31/92	
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	AM	5,163,949		Bonutti	11/17/92	
	AN	5,171,244		Caspari et al.	12/25/92	
	AO	5,183,464		Dubrul et al.	02/02/93	
	AP	5,197,971		Bonutti	03/30/93	
	AQ	5,217,463		Mikhail	06/08/93	
	AR	5,228,459		Caspari et al.	07/20/93	
	AS	5,234,433		Bert et al.	08/10/93	
	AT	5,269,785		Bonutti	12/14/93	
	AU	5,282,803		Lackey	02/01/94	
<input checked="" type="checkbox"/>	AV	5,282,866		Cohen et al.	02/01/94	
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	AX	5,295,994		Bonutti	03/22/94	
	AY	5,304,181		Caspari et al	04/19/94	
	AZ	5,308,349		Mikhail	05/03/94	
	BA	5,320,611		Bonutti et al.	06/14/94	
	BB	5,344,423		Dietz et al.	09/06/94	
	BC	5,344,458		Bonutti	09/06/94	
	BD	5,358,530		Hodorek	10/25/94	
	BE	5,379,133		Kirk	01/03/95	
	BF	5,395,401		Bahler	03/07/95	
	BG	5,403,319		Matsen, III et al.	04/04/95	
	BH	5,425,355		Kullick	06/20/95	
	BI	5,445,639		Kuslich et al.	08/29/95	
	BJ	5,454,365		Bonutti	10/03/95	
	BK	5,456,268		Bonutti	10/10/95	
	BL	5,514,143		Bonutti et al.	05/07/96	
	BM	5,514,153		Bonutti	05/07/96	
	BN	5,520,692		Ferrante	05/28/96	
	BO	5,520,694		Dance et al.	05/28/96	
	BP	5,540,695		Levy	07/30/96	
✓	BQ	5,549,683		Bonutti	08/27/96	
Examiner /Pedro Philogene/				Date Considered 01/17/2007		

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Substitute for form 1449A/PTO				Complete If Known			
<b>INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b> (use as many sheets as necessary)				Application Number			
				Filing Date			
				First Named Inventor		Peter M. Bonutti	
				Group Art Unit			
				Examiner Name		Unknown	
Sheet	3	of	7	Attorney Docket Number		780-A03-012C	
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
PP	BR	5,609,603		Linden	03/11/97		
	BS	5,609,644		Ashby et al.	03/11/97		
	BT	5,632,745		Schwartz	05/27/97		
	BU	5,643,272		Haines et al.	07/01/97		
	BV	5,662,710		Bonutti	09/02/97		
	BW	5,667,512		Johnson	09/16/97		
	BX	5,667,520		Bonutti	09/16/97		
	BY	5,716,360		Baldwin et al.	02/10/98		
	BZ	5,733,292		Gustillo et al.	03/31/98		
	CA	5,749,876		Duvillier et al.	05/12/98		
	CB	5,755,791		Whitson et al.	05/26/98		
	CC	5,769,855		Bertin et al.	06/23/98		
	CD	5,772,594		Barrick	06/30/98		
	CE	5,788,700		Morawa et al.	08/04/98		
	CF	5,842,477		Naughton et al.	12/01/98		
	CG	5,860,981		Bertin et al.	01/19/99		
	CH	5,888,219		Bonutti	03/30/99		
	CI	5,908,424		Bertin et al.	06/15/99		
	CJ	5,911,723		Ashby et al.	06/15/99		
	CK	5,916,269		Serbousek et al.	06/19/99		
V	CL	5,961,499		Bonutti	10/05/99		
Examiner /Pedro Philogene/				Date Considered 01/17/2007			

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<b>INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b> (use as many sheets as necessary)				Application Number		
				Filing Date		
				First Named Inventor <b>Peter M. Bonutti</b>		
				Group Art Unit		
				Examiner Name <b>Unknown</b>		
Sheet	4	of	7	Attorney Docket Number <b>780-A03-012C</b>		
<b>U.S. PATENT DOCUMENTS</b>						
Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
PP	CM	6,012,456		Schuerch	01/11/00	
	CN	6,013,103		Kaufman et al.	01/11/00	
	CO	6,019,767		Howell	02/01/00	
	CP	6,059,817		Bonutti et al.	05/09/00	
	CQ	6,063,095		Wang et al.	05/16/00	
	CR	6,086,593		Bonutti	07/11/00	
	CS	6,099,531		Bonutti	08/08/00	
	CT	6,102,850		Wang et al.	08/15/00	
	CU	6,118,845		Simon et al.	09/12/00	
	CV	6,159,246		Mendez et al.	12/12/00	
	CW	6,174,314		Waddell	01/16/01	
	CX	6,185,315		Schmucher et al.	02/06/01	
	CY	6,187,023		Bonutti	02/13/01	
	CZ	6,195,167		De Lega et al.	02/27/01	
	DA	6,195,168		De Lega et al.	02/27/01	
	DB	6,197,064		Haines et al.	03/06/01	
	DC	6,198,794		Peshkin et al.	03/06/01	
	DD	6,206,927		Fell et al.	03/27/01	
	DE	6,211,976		Popovich et al.	04/03/01	
	DF	6,258,127		Schmotzer	07/10/01	
V	DG	6,277,136		Bonutti	08/21/01	
Examiner <b>/Pedro Philogene/</b>				Date Considered <b>01/17/2007</b>		

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<b>INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b> (use as many sheets as necessary)		Application Number	
		Filing Date	
		First Named Inventor	Peter M. Bonutti
		Group Art Unit	
		Examiner Name	Unknown
Sheet	6	of	7
		Attorney Docket Number	780-A03-012C
OTHER REFERENCES - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
PP	EA	The M/G Unicompartmental Knee – Minimally Invasive Surgical Technique – Publication date unknown, but before August 1, 2001.	
	EB	Scorpio Single Axis Total System – Passport Total Knee Instrumentation – Passport A.R Surgical Technique – Publication date unknown, but before August 1, 2001.	
	EC	NexGen Complete Knee Solution – Design Rationale – Publication date unknown, but before August 1, 2001.	
	ED	Insall/Burstein II Modular Knee System – Publication date unknown, but before August 1, 2001.	
	EE	NexGen Complete Knee Solution – Surgical Technique for the LPS-Flex Fixed Bearing Knee – Publication date unknown, but before August 1, 2001.	
	EF	NexGen Complete Knee Solution – Extramedullary/ Intramedullary Tibial Resector Surgical Technique – Publication date unknown, but before August 1, 2001.	
	EG	NexGen Complete Knee Solution – Multi-Reference <sup>TM</sup> 4-in-1 Femoral Instrumentation – Anterior Reference Surgical Technique – Publication date unknown, but before August 1, 2001.	
	EH	NexGen Complete Knee Solution – Intramedully Instrumentation Technique – For the NexGen Cruciate Retaining & Legacy ® Posterior Stabilized Knee – Publication date unknown, but before August 1, 2001.	
	EI	Surgical Navigation for Total Knee Arthroplasty – Believed to have been presented at the American Academy of Orthopedic Surgeons In February of 2001.	
	EJ	Operative Arthroscopy – John B. McGinty M.D. – Department of Orthopedic Surgery, Medical University of South Carolina, Charleston, South Carolina - © 1991 by Raven Press, Ltd., Page 9.	
	EK	The Series 7000 Total Knee System – Passport A.R. Total Knee Instruments - © Osteonics 1996	
↓	EL	Surgical Technique – Stryker ® Howmedica Osteonics – Restoration <sup>TM</sup> T3 Femoral Component Using the Command ® Instrument System, February 2000	
Examiner /Pedro Philogene/		Date Considered 01/17/2007	

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